CLIFFORD M. DAVIDSON
LESLYE B. DAVIDSON
CARY S. KAPFEL
WILLIAM C. GEHRIS
MOREY B. WILDES
ROBERT J. PARADISO
ERIK R. SWANSON**
THOMAS P. CANTY**
FELIX L. D'ARIENZO, JR.

STEPHANIE HSIEH DAVID PETROFF

DAVID C. KNASIAK
RICHARD V. ZANZALARI*
BENJAMIN S. DIMARCO
MICHELLE L BLAT
ELIZABETH PIETROWSKI
OLIVER PLATZ



NEW YORK
DAVIDSON, DAVIDSON & KAPFEL, LLC
485 SEVENTH AVENUE, 14TH FLOOR
NEW YORK, NY 10018
T. 212-736-1940
F. 212-736-2427
DDK@DDKPATENT.COM

PRANKFURT
DAVIDSON, DAVIDSON & KAPPEL EUROPE, LLC
ARNDTSTRASSE 11
60325 FRANKFURT AM MAIN, CERMANY
T. +49 (69) 788 088-0
F. +49 (69) 788 088-29
FRANKFURT @DDKPATENT.COM

*ADMITTED IN NEW JERSEY ONLY **DOK EUROPE

FACSIMILE TRANSMITTAL

RECEIVED
CENTRAL FAX CENTER
APR 1 2 2005

FROM: Morey B. Wildes

DATE: April 12, 2005

OUR REF: 206.1004

NO. OF PAGES (including cover): 5

PLEASE DELIVER THE FOLLOWING TO:

Recipients(s):	Fax Number:	
U.S. Patent and Trademark Office	703.872.9306	

MESSAGE:

Please the attached documents.

CONFIDENTIALITY NOTICE: The documents accompanying this facsimile transmission contain confidential information belonging to the sender which is legally privileged. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this facsimile information is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone to arrange for return of the original documents to us.

IF THERE ARE ANY PROBLEMS WITH RECEPTION OF THIS FAX, PLEASE CALL OR FAX SENDER TO ADVISE. THANK YOU.

H:\206\1004\Letters\FAXCOVER - USPTO 12APRIL05.doc

APR. 12. 2005 3:18PM

DDK

RECEIVED **CENTRAL FAX CENTER**

APR 1 2 2005

NO. 4180 P. 2

PTO/SB/97 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Certificate of Transmission under 37 CFR 1.8 I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on April 12, 2005. Date Signature Windy Richards Typed or printed name of person signing Certificate (212) 736-1940 Telephone Number Registration Number if applicable Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper. Docket No.: 206.1004US Re.: Applicant(s): Emil ClURCZAK, et al. Serial No.: 10/657,657 Invention: APPARATUS AND METHOD FOR NON-INVASIVE MEASUREMENT OF BLOOD CONSTITUENTS Filing Date: September 8, 2003 - Transmittal Form with authorization to charge deposit amount (1 page); - Terminal Disclaimer (1 page); and - Statement under 37 C.F.R. § 1.173(b).

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DDK

PTC/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		Application Number		10/857,657	
TRANSMITTAL FORM		Filing Date	1	September 8	3, 2003
		First Named Invento	or	Emil Ciurcza	ak, et al.
		Art Unit		3736	
(to be used for all correspondance after Initial filing)		Examiner Name		Eric F. Wina	ikur
Total Number of Pages in This Submissi		Attorney Docket Nu	mber	206.1004	
	ENCLO	SURES (check all that	apply)		
Fee Transmittal Form	☐ Drawing(s	s)		After Allow	vance Communication to TC
Fee Attached	Licensing	Licensing-related Papers			primunication to Board Is and Interferences
Amendment / Reply	Petillon			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application		Proprietary Information	
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Extension of Time Request	X Terminal Disclaimer		Other En	aciosure(s) artify below):	
	Request for Refund		- Return rece	ipt postcard	
Express Abandonment Request	CD, Num	CD, Number of CD(s)			·
Information Disclosure Statement	☐ La	Landscape Table on CD			
Certified Copy of Priority Document(s)	Remarks				
Reply to Missing Parts/	Disclaimer	charge any additional	fee(s) or t	indemaymen	30.00 for filing the Terminal ts of fee(s) under 37 C.F.R. §
Incomplete Application	1.17 and cre	edit any overpayments idson Davidson & Kap	to Depos	ilt Account N	o.: <u>50-0552</u> , Deposit Account
Reply to Missing Parts under 37 CFR1.52 or 1.53	Hame. Day	193911 Bavigson a Tag	, ,		·
	NATURE OF	APPLICANT, ATTO	RNEY, O	KAGENI	
Firm Davidson & Kappel, LLC					
Signature	from	m weigh			
Printed Name	Morey B Wilde	es	7	_ ,	
Date	April 12, 2005		Reg. No.	36,968	
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Signature					
Typed or printed name				Date	

This collection of information is required by 37 CFR 1.5, The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form another suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Palant and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM\$ TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/ SB/26 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number.

TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING **REJECTION OVER A "PRIOR" PATENT**

Docket Number (Optional) 206,1004

In re Application of: Emil ClURCZAK, et al.

Application No. 10/657,657

RECEIVED CENTRAL FAX CENTER

Filed. September 8, 2003

APR 1 2 2005

For APPARATUS AND METHOD FOR NON-INVASIVE MEASUREMENT OF BLOOD CONSTITUENTS

The owner. Euro-Ceitique S.A., of 100 percent interest in the Instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term prior patent No. 6,675,030 as the term of sald prior patent is defined in 35 U.S.C. 154 and 173, and as the term of sald prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later:

expires for fallun	to pay	a ma	intenance i	ee;
--------------------	--------	------	-------------	-----

- is held unenforceable;
- is found invalid by a court of competent jurisdiction;
- is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
- has all claims canceled by a reexamination certificate;
- is relssued: or
- is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency. etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned is an attorney of record. Reg. No. _

. 2005 Date

Signature

Douglas Docherty, Director

Typed or printed name

(441) 295-6480

Telephone Number

Terminal disclaimer fee under 37 CFR 1.20(d) is included.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of Information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the This collection of information is required by 37 CPR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CPR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Petant and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA22313-1450.

If you need assistance in completing the form, call 1-800-PTQ-9199 and select option 2.

PTC/SB/96 (09-04)

Approved for use through 07/31/2008, OM9 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Radiuction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/P	atent Owner. Emil CluRCZAK, et al.			
Application	No./Patent No.: 10/657,657	Filed/Issue Date: Septem		
Entitled: Af	PPARATUS AND METHOD FOR NO	N-INVASIVÉ MEASUREMENT OF BL	.00D CC	ONSTITUENTS
Euro-Celtiq	ue S.A.	, a corporation (a Luxembourg corp		
-	tame of Assignee)	(Type of Assignee, e.g., corporation, partner	rship, univ	ersity, government agency, etc.)
states that	it is:			
1.	the assignee of the entire right, title			
2.	an assignee of less than the entire			
	The extent (by percentage) of it	s ownership interest is%		
	nt application/patent identified above			
reco	ssignment from the inventor(s) of the rded in the United States Patent and of is attached.	patent application/patent Identified at Trademark Office at Reel <u>015051</u> , Fra	10ve. The Ime <u>003</u> 5	e assignment was 5, or for which a copy
OR				
B. ☐ A ch show	vain of title from the Inventor(s), of the vn below:	e patent application/patent identified at	ove, to t	the current assignee as
1, 1	From:	To:		
	The document was recorded in the	United States Patent and Trademark C	Office at	
	Reel, Frame, or for wh	lich a copy thereof is attached.		
2.	From:	To:		
	The document was recorded in the	United States Patent and Trademark C	Office at	
	Reel, Frame, or for wi	nich a copy thereof is attached.		
3.	From:	To:		
	The document was recorded in the	United States Patent and Trademark C	Office at	
	Reel, Frame, or for wi	hich a copy thereof is attached.		
	Additional documents in the chain of	of title are listed on a supplemental she	:0t.	
<u>(NOTE</u> DMslo USPT	n in accordance with 37 CFR Part 3. D. <u>See</u> MPEP 302.08]	of the original document(s)) must be suit if the assignment is to be recorded in	uie iezu	108 01 010
The under	rsigned (whose title is supplied below	is authorized to act on behalf of the	assignee	.
		April	Bate 2	2005
	Signature		Date	
	Douglas Docherty	(441) 295-64	60
	Printed or Typed Name	Teleph	none Nu	mber
	Director			

This collection of information to required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is assimilated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450. 22313-1450.

If you need assistance in complating the form, call 1-800-PTO-9199 and select option 2.

Title